

Fundamental rate-loss tradeoff for optical quantum key distribution

Masahiro Takeoka (NICT)



Saikat Guha (BBN)



Mark M. Wilde (LSU)



Outline



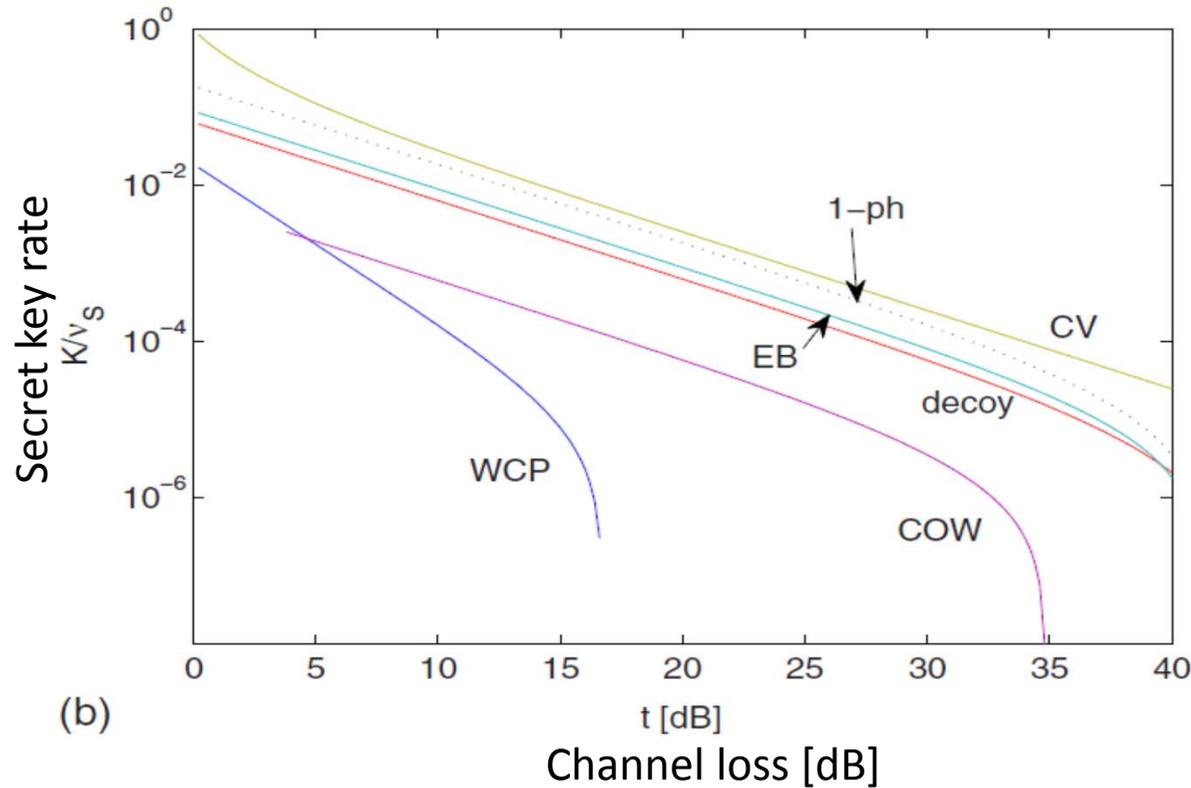
- Motivation
- Main result
- Problem setting (generic QKD protocol) and proof outline
- Future outlook

Motivation



- Quantum Key Distribution can generate a shared key perfectly secret against any eavesdropper.
- Various (repeaterless) QKD protocols have been proposed so far.
- In all known protocols, the key rate decreases linearly with respect to the channel loss.

Various QKD protocols



Platform	Parameter	Set 1	Set 2
BB84, COW	μ (mean intensity)	(Opt.)	(Opt.)
	V (visibility): P&M	0.99	0.99
	V (visibility): EB	0.96	0.99
	t_B (transmission in Bob's device)	1	1
	η (detector efficiency)	0.1	0.2
	p_d (dark counts)	10^{-5}	10^{-6}
	ε (COW) (bit error)	0.03	0.01
	ζ (EB) (coherent four photons)	0	0
	Leak (EC code)	1.2	1
CV	$v = v_A + 1$ (variance)	(Opt.)	(Opt.)
	ε (optical noise)	0.005	0.001
	η (detector efficiency)	0.6	0.85
	v_{el} (electronic noise)	0.01	0
	β (EC code)	0.9	0.9

Scarani et al., Rev. Mod. Phys. 81, 1301 (2009)

Example1: Ideal single-photon BB84



- Secret key generation rate for the single-photon efficient BB84

Scarani et al., RMP 81, 1301 (2009)

$$R = p_{\text{sift}} \eta \eta_B \eta_D (1 - 2h(Q))/2$$

η : channel transmissivity
 η_B : Bob's device efficiency
 η_D : Bob's detector efficiency
 Q : QBER
 p_{sift} : sifting rate
 $h(\cdot)$: binary entropy

- Ideal case

$$\eta_B = \eta_D = 1, \quad Q = 0$$

$$p_{\text{sift}} \rightarrow 1$$

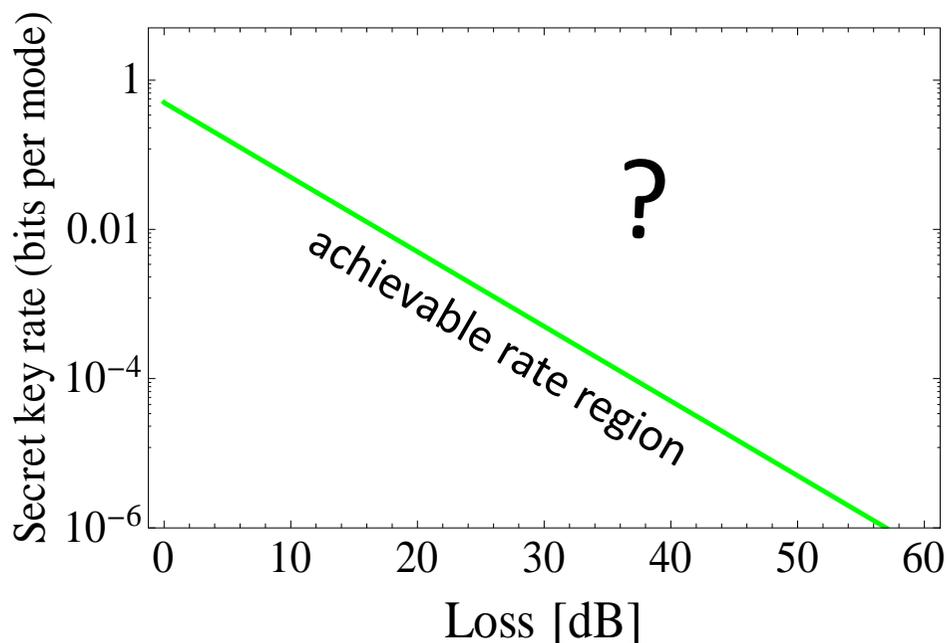
(efficient BB84 protocol)

Lo et al., J. Crypt. 18, 133 (2006)

Key rate (per mode, pulse)



$$R = \eta/2$$



Example2: CV-QKD (GG02)



Scarani et al., RMP 81, 1301 (2009)

$$R = \beta I(A; B) - \chi(B; E)$$

- Ideal case

$$\eta_D = 1, \quad \epsilon = v_D = 0$$

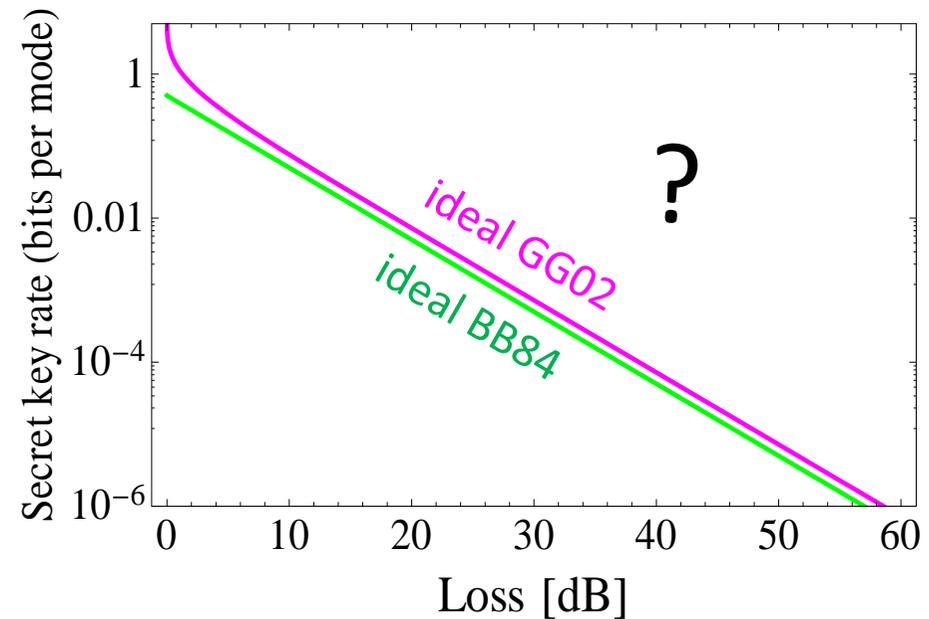
$$\beta = 1$$

ϵ : optical noise

η_D : Bob's detector efficiency

v_D : electronics noise

β : EC efficiency



Example3: Reverse Coherent Information

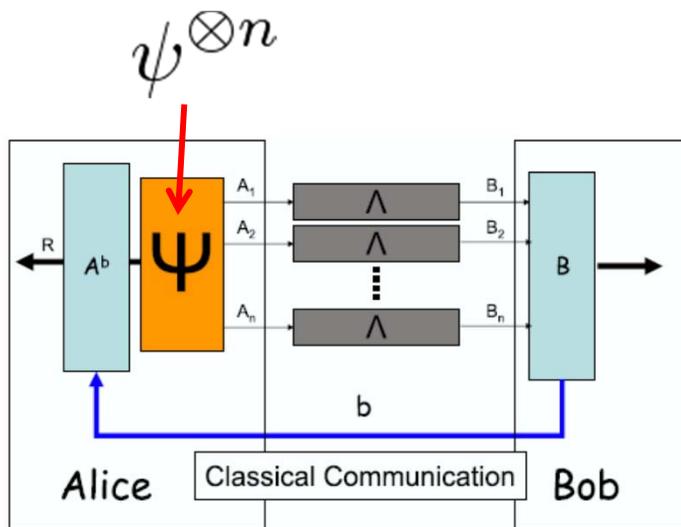
Garcia-Patron et al., PRL 102, 210501 (2009)
 Pirandola et al., PRL 102, 050503 (2009)

$$R = \max_{\rho} I_R(\rho_{RB})$$

Reverse coherent information

$$I_R(\rho_{RB}) = H(R)_{\rho} - H(RB)_{\rho}$$

$H(R)_{\rho}$: von Neumann entropy of $\text{Tr}_B[\rho_{RB}]$

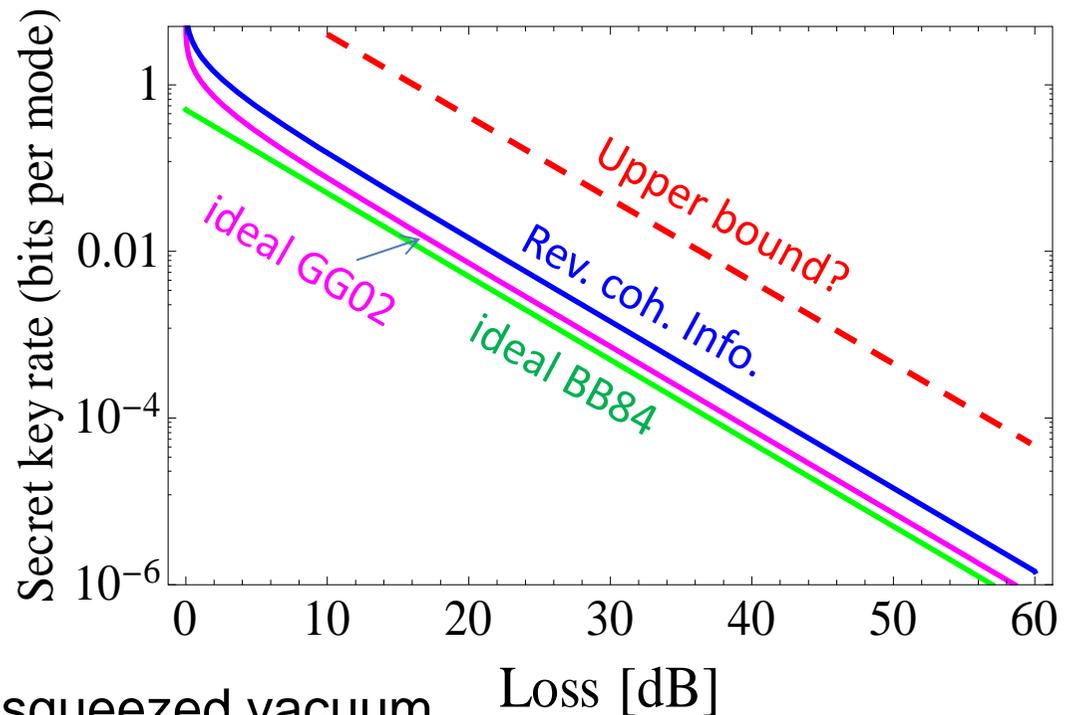


Garcia-Patron et al., PRL 102, 210501 (2009)

For a lossy channel

$$\max_{\rho} I_R(\rho_{RB}) = \log_2 \frac{1}{1 - \eta}$$

with (infinitely strong) two-mode squeezed vacuum



Question



Is this a fundamental rate-loss tradeoff in any optical QKD?

Are there yet-to-be-discovered optical QKD protocols that could circumvent the linear rate-loss tradeoff (without repeaters or trusted nodes)?

Our result

MT, S. Guha, M. M. Wilde, Nat. Commun. 5; 5235 (2014)



- We show that this is the rate-loss trade off is a fundamental limit.
- We prove that the secret key agreement capacity (private capacity) of a lossy optical channel assisted by two-way public classical communication is *upper bounded* by:

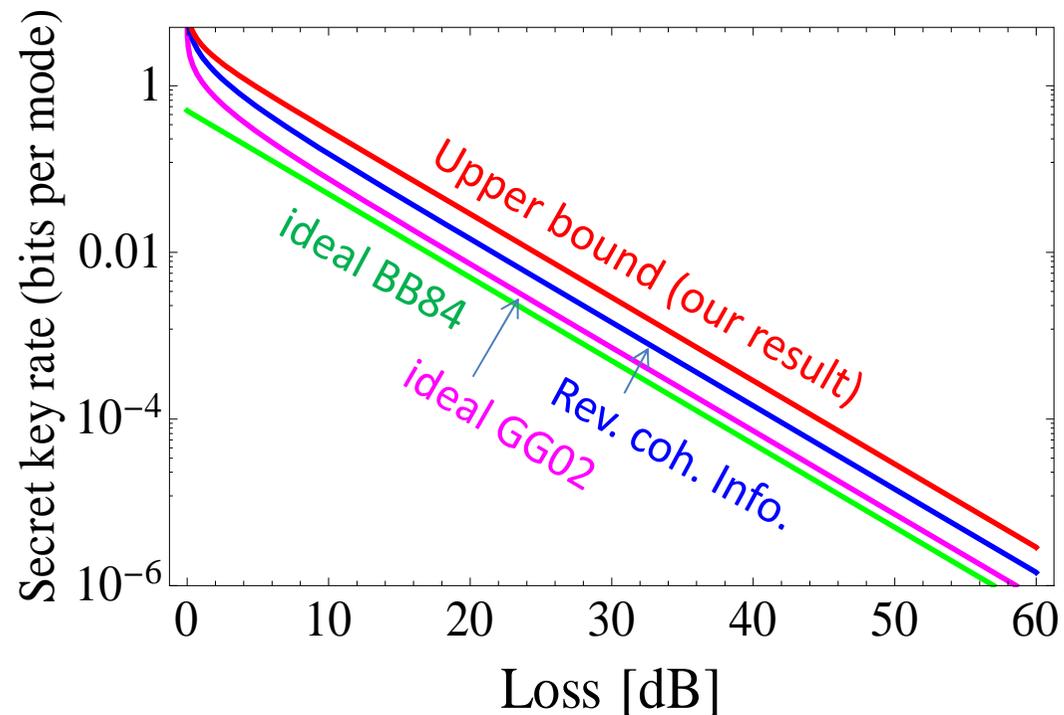
$$\log_2 \frac{1 + \eta}{1 - \eta}$$



$$\log_2 \frac{1}{1 - \eta}$$

(Rev. coh. info. LB)

η : channel transmittance



Rest of the talk



- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
- Squashed entanglement of a quantum channel as an upper bound on the two-way assisted SKA capacity
- Pure-loss optical channel
- Loss and noise optical channel
- Summary

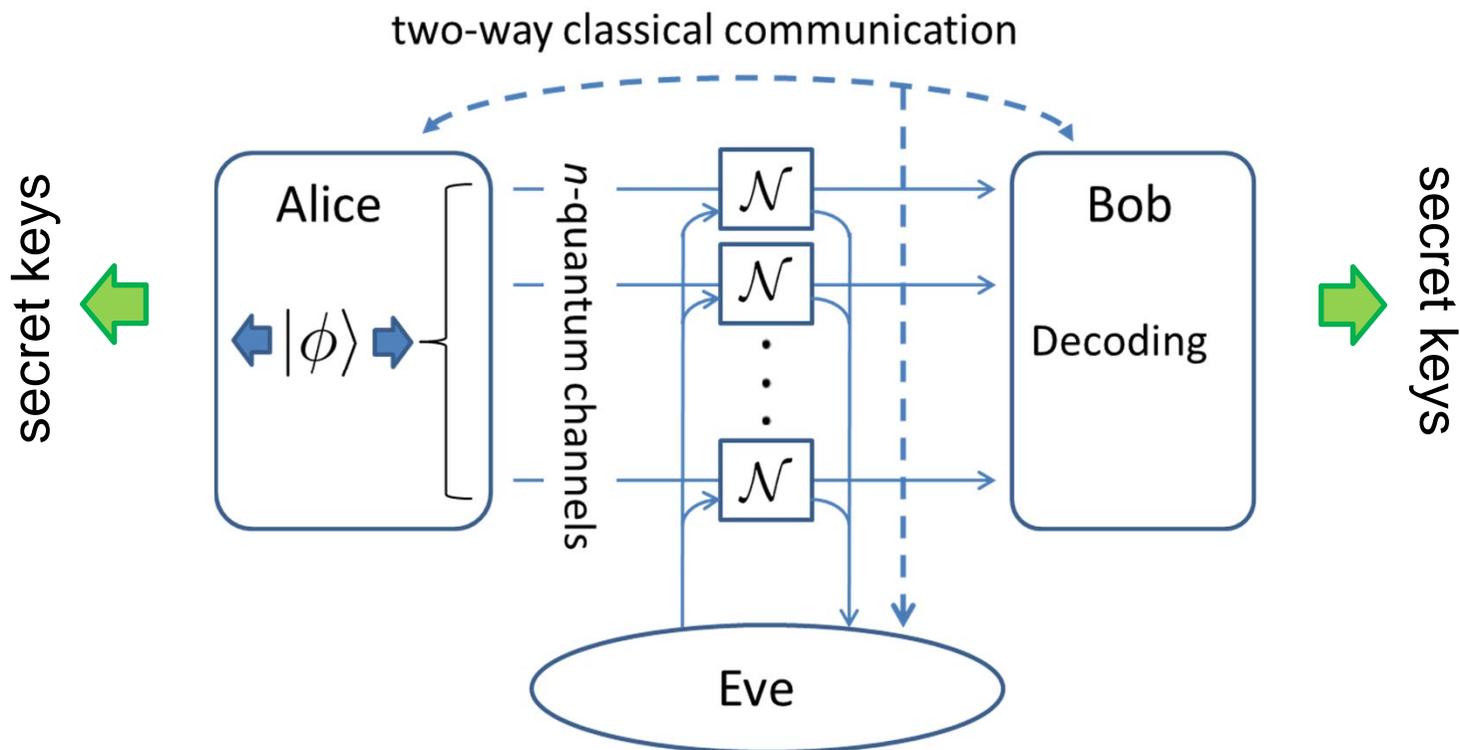
Rest of the talk



- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
- Squashed entanglement of a quantum channel as an upper bound on the two-way assisted SKA capacity
- Pure-loss optical channel
- Loss and noise optical channel
- Summary

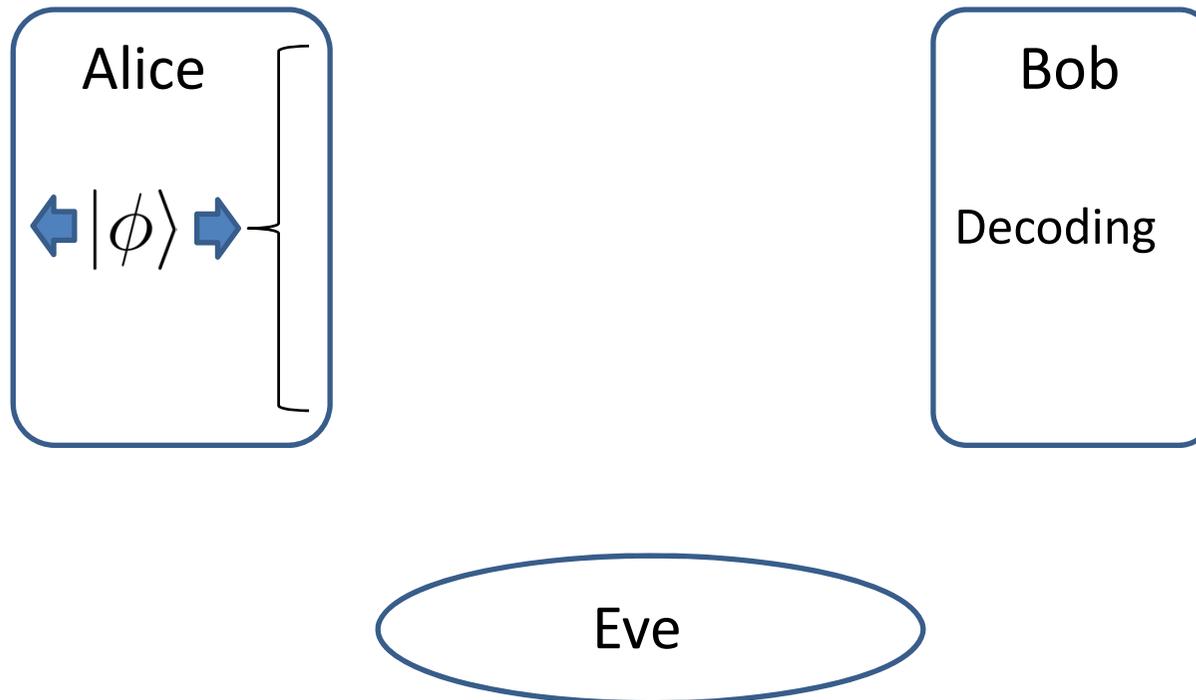
General point-to-point QKD

General secret key agreement assisted by unlimited two-way public classical communication

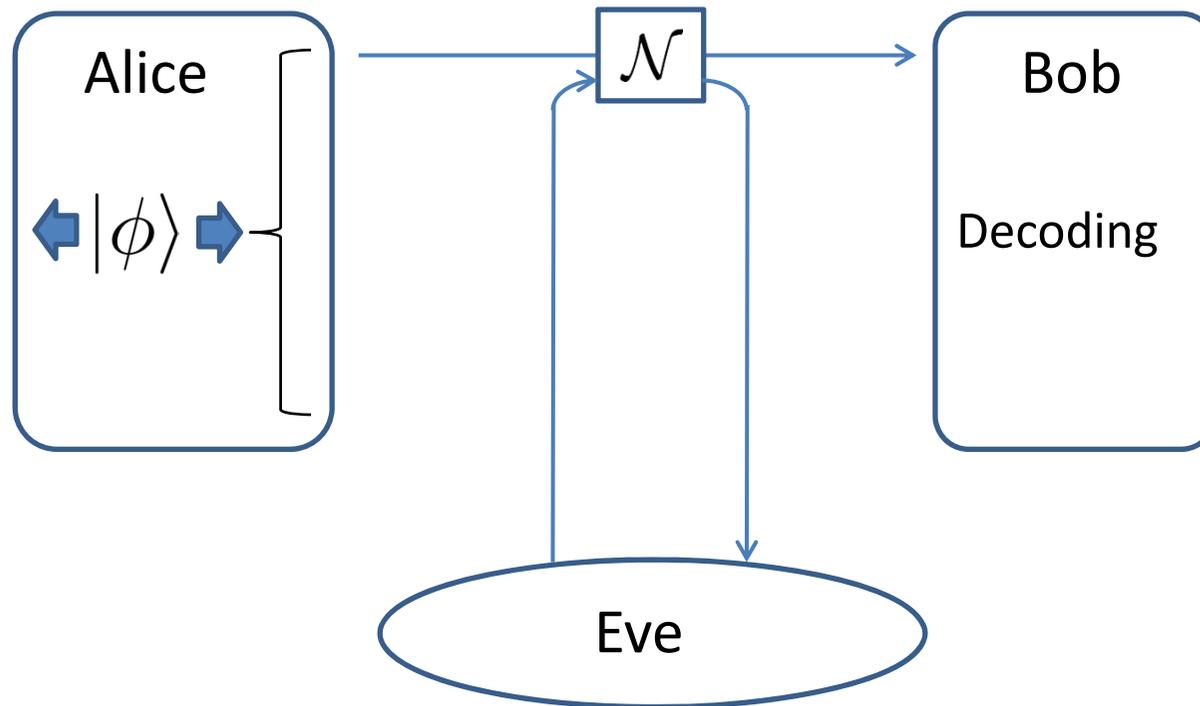


General secret key agreement assisted by unlimited two-way public classical communication

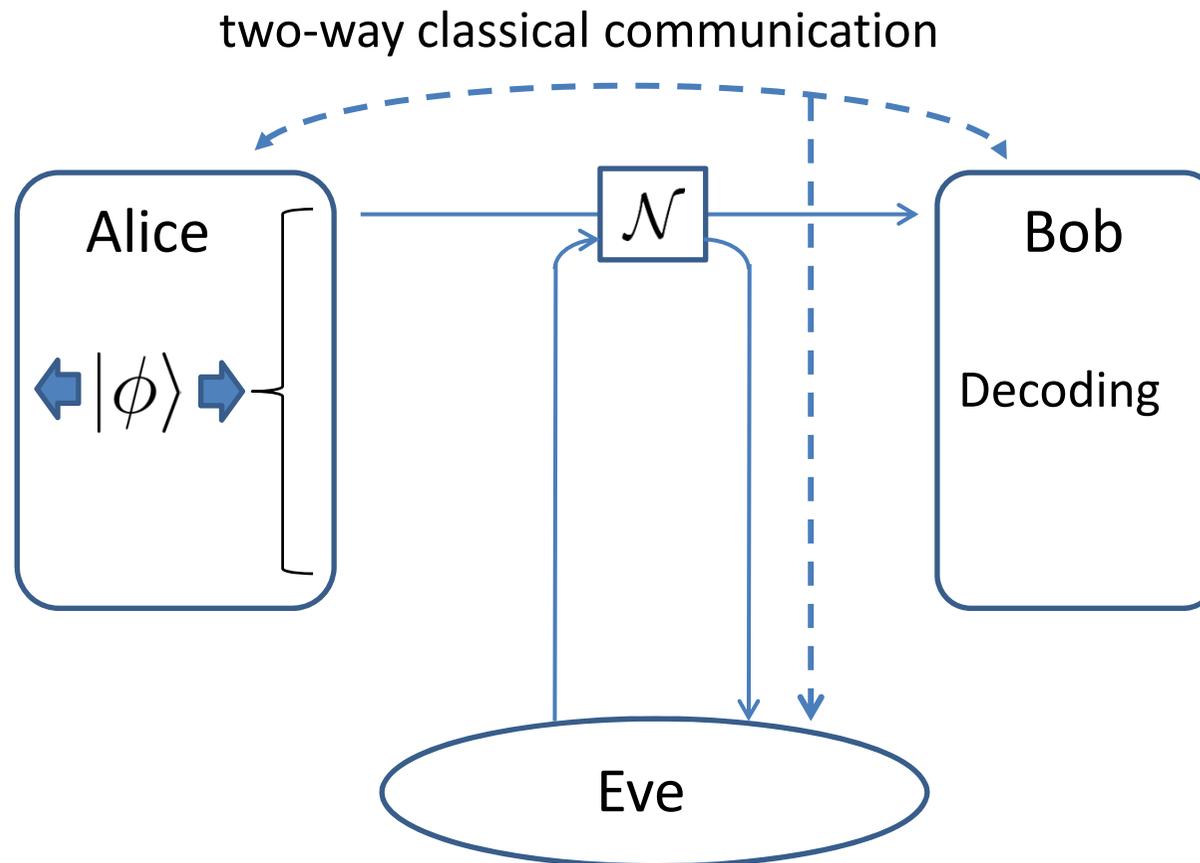
General point-to-point QKD



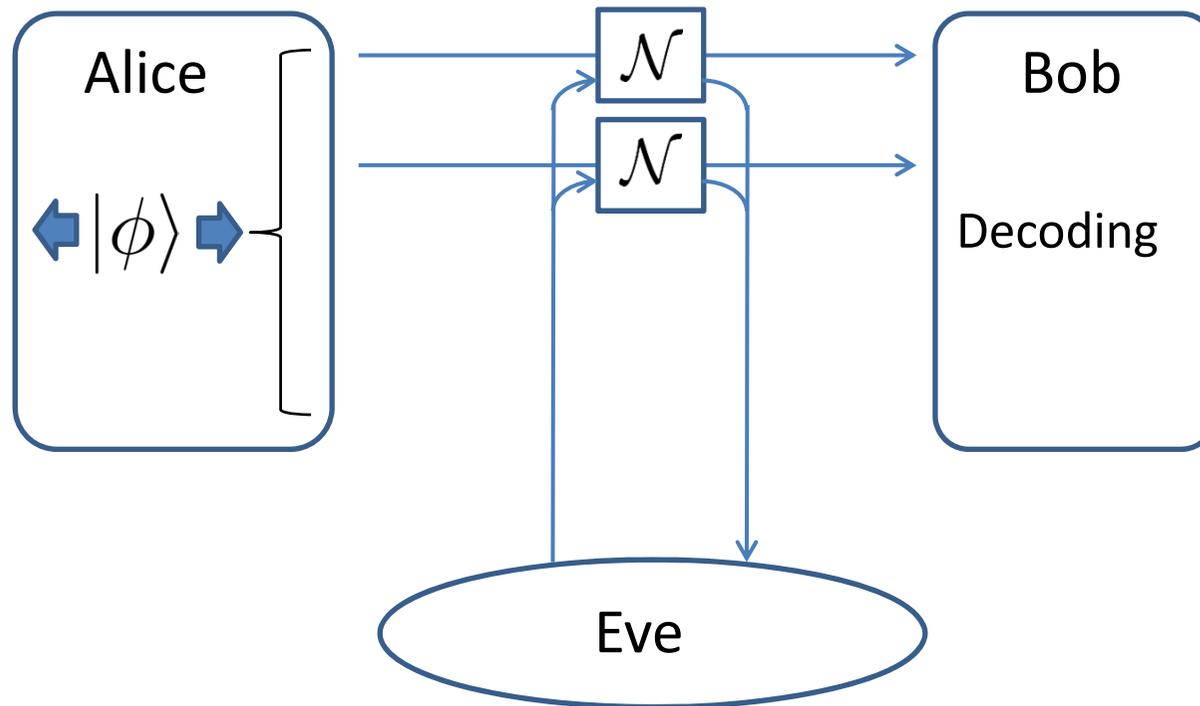
General point-to-point QKD



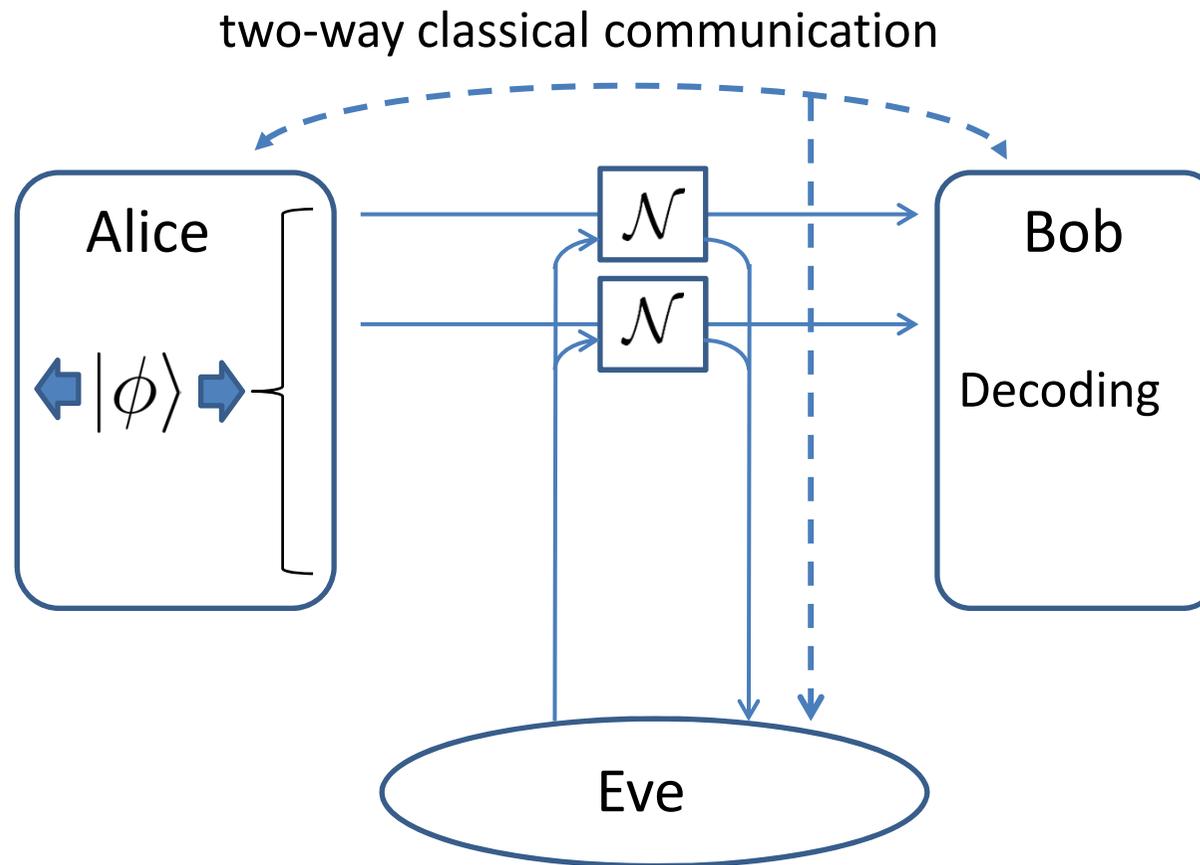
General point-to-point QKD



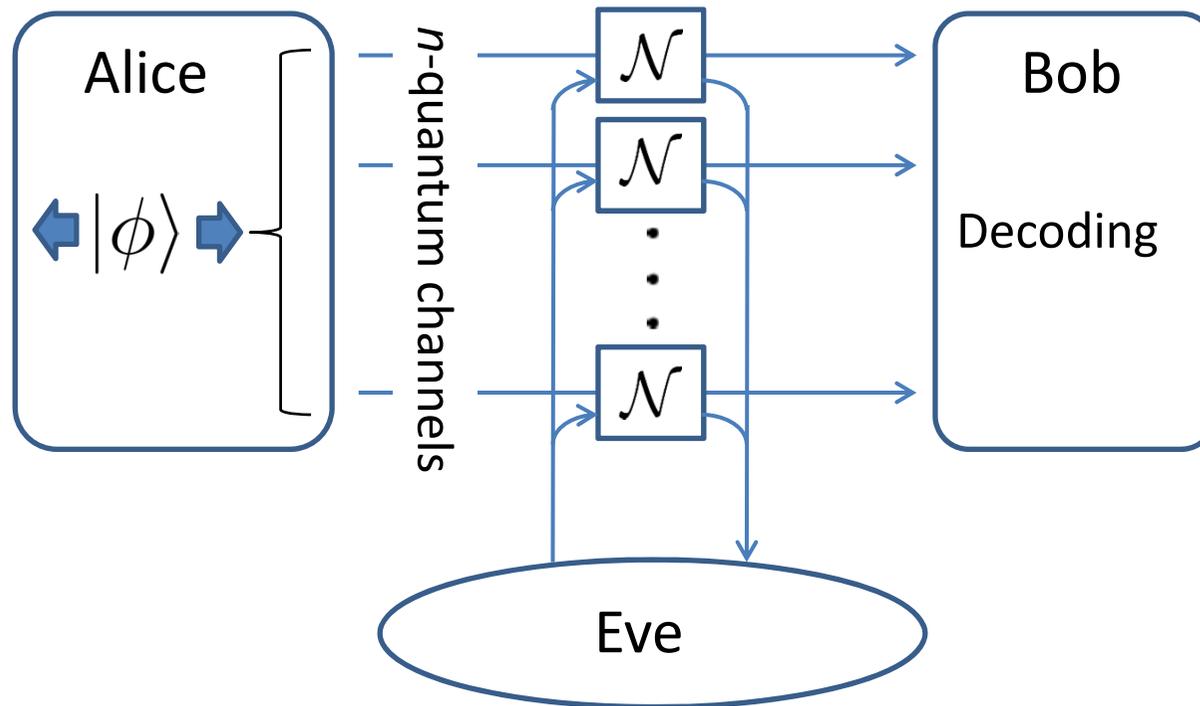
General point-to-point QKD



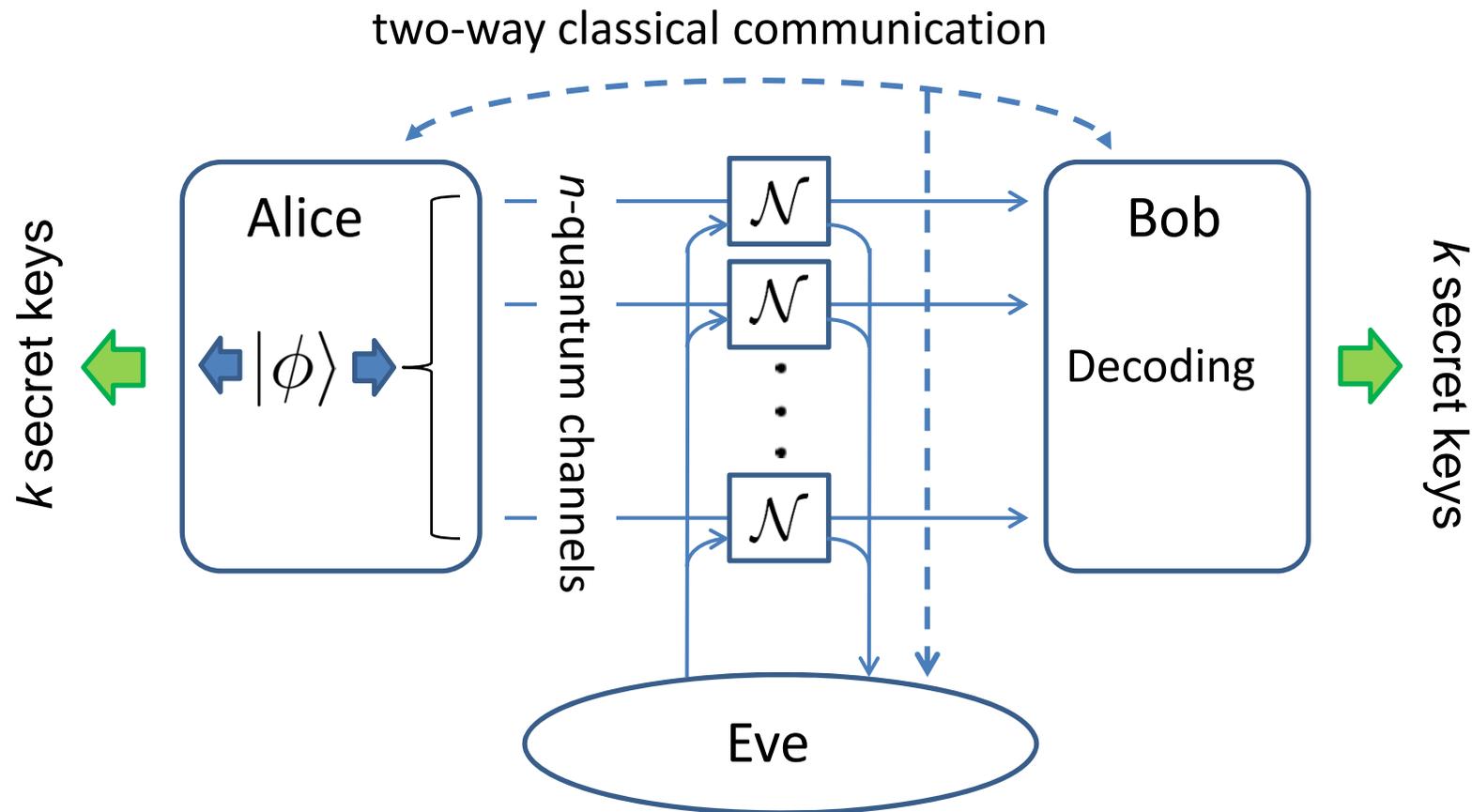
General point-to-point QKD



General point-to-point QKD



General point-to-point QKD



Secret key generation rate: $R=k/n$

Rest of the talk



- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
- Squashed entanglement of a quantum channel as an upper bound on the two-way assisted SKA capacity
- Pure-loss optical channel
- Loss and noise optical channel
- Summary

Upper bound on the key rate:

squashed entanglement of a quantum channel



$$R \leq E_{\text{sq}}(\mathcal{N}) = \max_{|\psi\rangle_{AA'}} E_{\text{sq}}(A; B)_{\rho}$$

$E_{\text{sq}}(\mathcal{N})$: Squashed entanglement of a quantum channel

MT, Guha, Wilde, IEEE Trans. Info. Theory 60, 4987 (2014)

$E_{\text{sq}}(A; B)_{\rho}$: Squashed entanglement
(of a bipartite state ρ_{AB})

Christandl, Winter, J. Math. Phys. 45, 829 (2004)

Squashed entanglement



Squashed entanglement: $E_{sq}(A;B)$

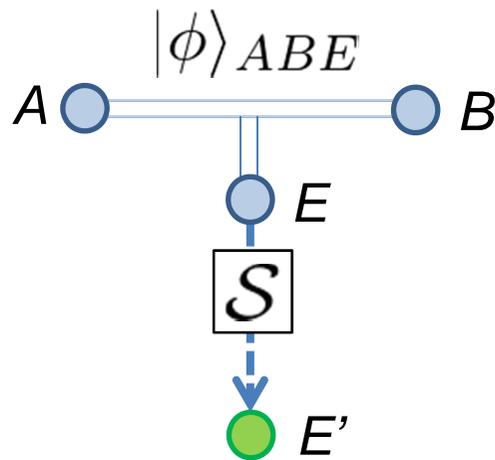
Christandl, Winter, J. Math. Phys. 45, 829 (2004)

$I(A; B|E')_\rho$ conditional quantum mutual information

$$E_{sq}(A; B)_\rho \equiv \frac{1}{2} \inf_{\mathcal{S}_{E \rightarrow E'}} I(A; B|E')_\rho$$

$$= H(AE')_\rho + H(BE')_\rho - H(ABE')_\rho - H(E')_\rho$$

$$H(A)_\rho = -\text{Tr}[\rho_A \log \rho_A]$$



$$\rho_{AB} = \text{Tr}_E[|\phi\rangle\langle\phi|_{ABE}]$$

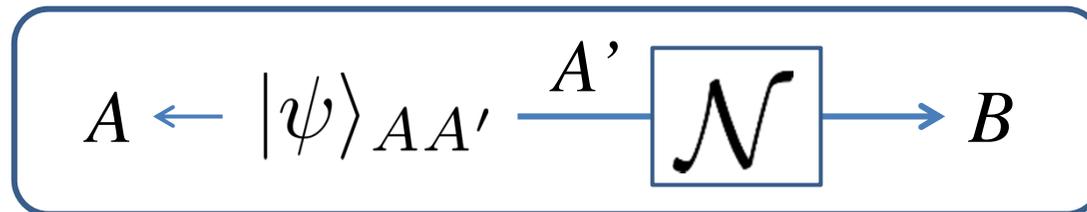
Channel S should be chosen to *squash* the quantum correlations between Alice and Bob (the squashing channel)

- Entanglement measure for a bipartite state (LOCC monotone, ...)
- Inspired by secrecy capacity upper bound in classical theory (intrinsic information)

Squashed entanglement of a quantum channel

Definition:

$$E_{sq}(\mathcal{N}) = \max_{|\psi\rangle_{AA'}} E_{sq}(A; B)_\rho \quad \text{where} \quad \rho_{AB} = \mathcal{N}_{A' \rightarrow B}(|\psi\rangle\langle\psi|_{AA'})$$



Main theorem



Theorem 1

$E_{\text{sq}}(N)$ is an upper bound on the secret key generation rate R

$$R \leq E_{\text{sq}}(\mathcal{N})$$

MT, Guha, Wilde, IEEE Trans. Info. Theory 60, 4987 (2014)

Proof outline

1. Secret key distillation upper bound

Christandl, et al., arXiv:quant-ph/0608119

2. New subadditivity inequality
for the squashed entanglement

Proof outline



1. Secret key distillation upper bound

Theorem 3.7. Squashed entanglement $E_{\text{sq}}(A; B)_\rho$ is an upper bound on the distillable key rate from a tensor product state $\rho_{AB}^{\otimes n}$

Christandl, et al., arXiv:quant-ph/0608119

The statement is proved by using the following four properties:

1. Monotonicity (does not increase under LOPC)

2. Continuity: if $\|\rho - \sigma\|_1 \leq \epsilon$ then $|E_{\text{sq}}(A; B)_\rho - E_{\text{sq}}(A; B)_\sigma| \leq f(\epsilon)$ $\left[\lim_{\epsilon \rightarrow 0} f(\epsilon) \rightarrow 0 \right]$

3. Normalization: $E_{\text{sq}}(A; B)_\gamma \geq \log d$

γ : private state

Horodecki et al, PRL 94, 160502 (2005)

4. Subadditivity on tensor product states:

$$E_{\text{sq}}(A^n; B^n)_{\rho^{\otimes n}} \leq nE_{\text{sq}}(A; B)_\rho$$



The similar technique is applicable to the channel scenario

except 4.

Proof outline

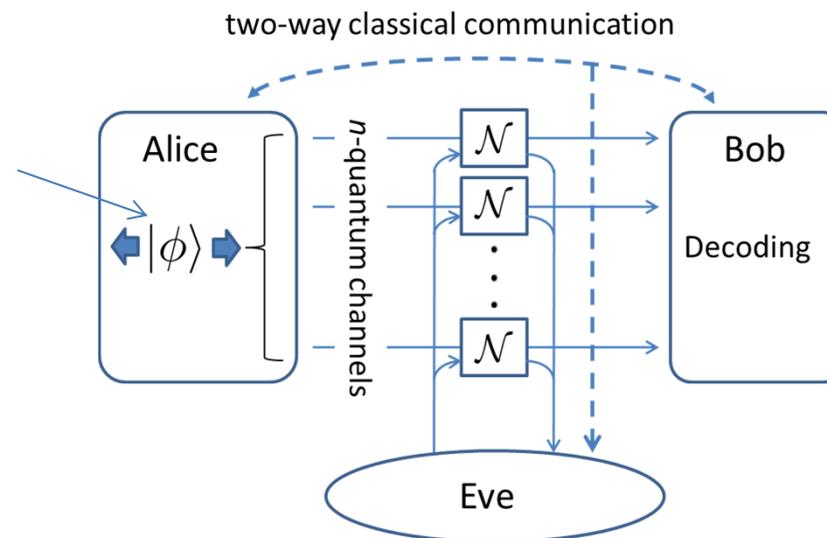
4. Subadditivity on tensor product states: $E_{\text{sq}}(A^n; B^n)_{\rho^{\otimes n}} \leq nE_{\text{sq}}(A; B)_{\rho}$



Product state

Can be replaced by $E_{\text{sq}}(\mathcal{N}^n) \leq nE_{\text{sq}}(\mathcal{N})$?

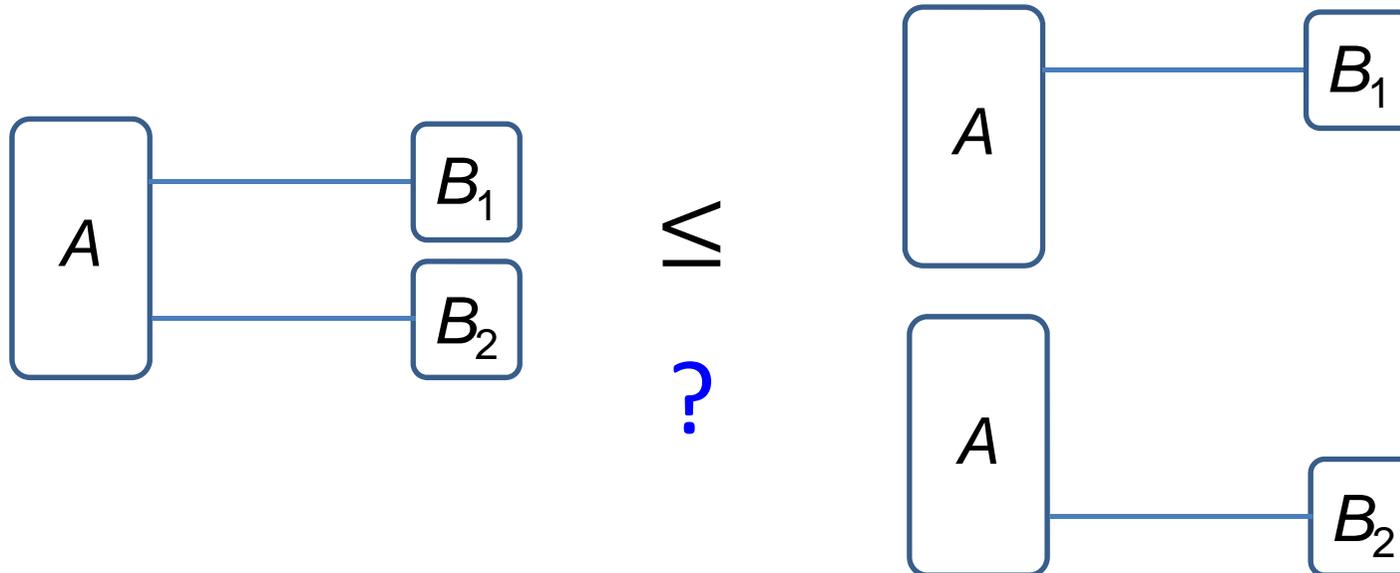
Could be entangled over n -channel use!



Subadditivity of $E_{\text{sq}}(\mathcal{N})$?

$E_{\text{sq}}(\mathcal{N}^n) \leq nE_{\text{sq}}(\mathcal{N})$ is true if one can show something like

$$E_{\text{sq}}(A; B_1 B_2)_\rho \leq E_{\text{sq}}(A; B_1)_\rho + E_{\text{sq}}(A; B_2)_\rho \quad [A = A_1 A_2]$$



Subadditivity of E_{sq} ?

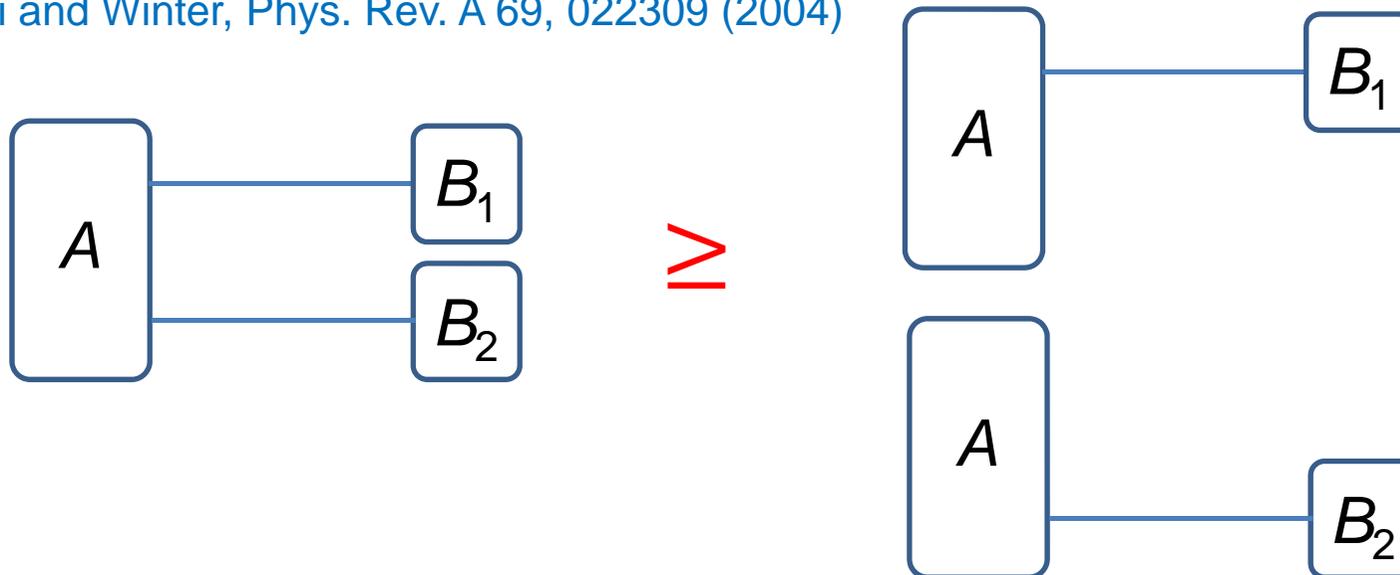
$E_{sq}(\mathcal{N}^n) \leq nE_{sq}(\mathcal{N})$ is true if one can show something like

~~$$E_{sq}(A; B_1 B_2)_\rho \leq E_{sq}(A; B_1)_\rho + E_{sq}(A; B_2)_\rho \quad [A = A_1 A_2]$$~~

Monogamy of entanglement

$$E_{sq}(A; B_1 B_2)_\rho \geq E_{sq}(A; B_1)_\rho + E_{sq}(A; B_2)_\rho$$

Koashi and Winter, Phys. Rev. A 69, 022309 (2004)



New subadditivity-like inequality

$E_{sq}(A; B_1 B_2)_\rho \leq E_{sq}(A; B_1)_\rho + E_{sq}(A; B_2)_\rho$ is not possible.

However, we are able to show the following inequality:

Lemma

For any five-party pure state $\psi_{AB_1 E_1 B_2 E_2}$

$$E_{sq}(A; B_1 B_2)_\psi \leq E_{sq}(AB_2 E_2; B_1)_\psi + E_{sq}(AB_1 E_1; B_2)_\psi$$

holds.

MT, Guha, Wilde, IEEE Trans. Info. Theory 60, 4987 (2014)

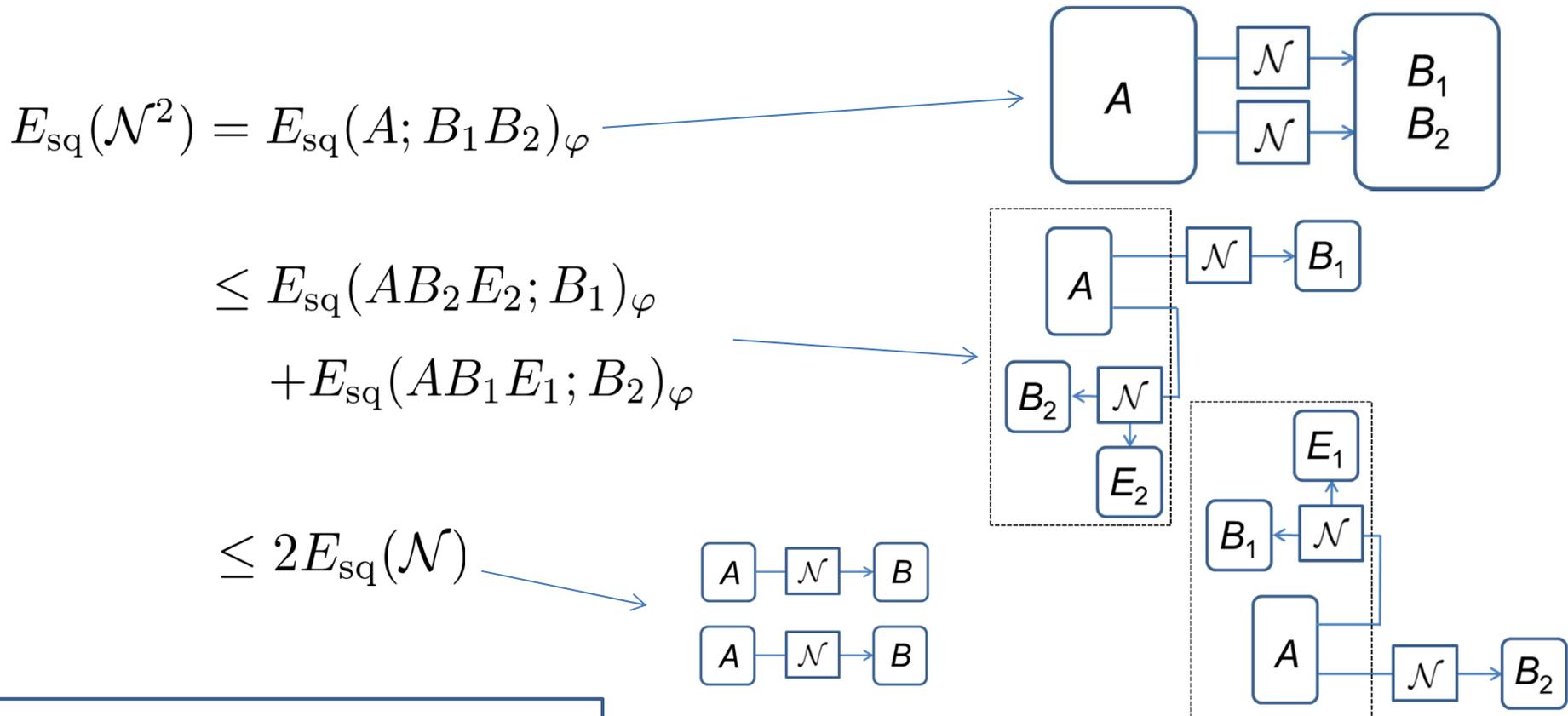
Proof consists of a chain of (in)equalities based on

- Duality of conditional entropy $H(K|L) + H(K|M) = 0$ for $|\psi\rangle_{KLM}$
- Strong subadditivity $I(K; L|M)_\rho \geq 0$ for ρ_{KLM}

Additivity of $E_{sq}(\mathcal{N})$

$$E_{sq}(A; B_1 B_2)_\psi \leq E_{sq}(AB_2 E_2; B_1)_\psi + E_{sq}(AB_1 E_1; B_2)_\psi$$

implies $E_{sq}(\mathcal{N}^2) \leq 2E_{sq}(\mathcal{N})$



$$E_{sq}(\mathcal{N}) \equiv \max_{|\phi\rangle_{AA'}} E_{sq}(A; B)_\rho$$

$$|\varphi\rangle_{AB_1 E_1 B_2 E_2} \equiv U_{A_1 \rightarrow B_1 E_1}^{\mathcal{N}} \otimes U_{A_2 \rightarrow B_2 E_2}^{\mathcal{N}} |\phi\rangle_{AA_1 A_2}$$

Proof outline



From the following four conditions:

1. Monotonicity (does not increase under LOPC)

2. Continuity: if $\|\rho - \sigma\|_1 \leq \epsilon$ then $|E_{\text{sq}}(A; B)_\rho - E_{\text{sq}}(A; B)_\sigma| \leq f(\epsilon)$ $\left[\lim_{\epsilon \rightarrow 0} f(\epsilon) \rightarrow 0 \right]$

3. Normalization: $E_{\text{sq}}(A; B)_\gamma \geq \log d$ $\left[\gamma: \text{private state} \right]$

4. Additivity: $E_{\text{sq}}(\mathcal{N}^n) \leq nE_{\text{sq}}(\mathcal{N})$

One can show

$$\Rightarrow R \leq E_{\text{sq}}(\mathcal{N}) + f(\epsilon)$$

$$f(\epsilon) = (16\sqrt{\epsilon} \log d + 4h_2(2\sqrt{\epsilon})) / n$$

For the details of the proof, see

[MT, Guha, Wilde, IEEE Trans. Info. Theory 60, 4987 \(2014\)](#)

Rest of the talk

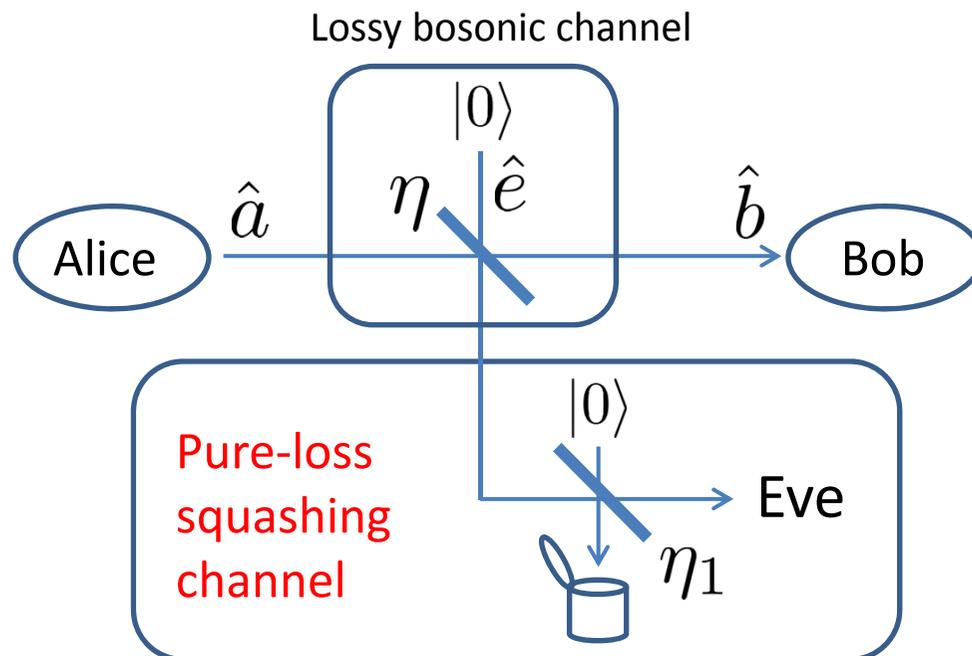


- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
- Squashed entanglement of a quantum channel as an upper bound on the two-way assisted SKA capacity
- **Pure-loss optical channel**
- Loss and noise optical channel
- Summary

Lossy bosonic channel

$$\begin{aligned}
 E_{sq}(\mathcal{N}_{LB}) &= \max_{|\psi\rangle_{AA'}} E_{sq}(A; B)_\rho \\
 &= \max_{|\psi\rangle_{AA'}} \inf_{\mathcal{S}_{E \rightarrow E'}} \frac{1}{2} I(A; B|E')
 \end{aligned}$$

Need to find a good squashing channel
(in a heuristic way...)



$$I(A; B|E')$$

➡ minimized at $\eta_1 = 1/2$

maximized with $|\psi\rangle_{AA'}^{TMSV}$

(Two-mode squeezed vacuum)

Lossy bosonic channel



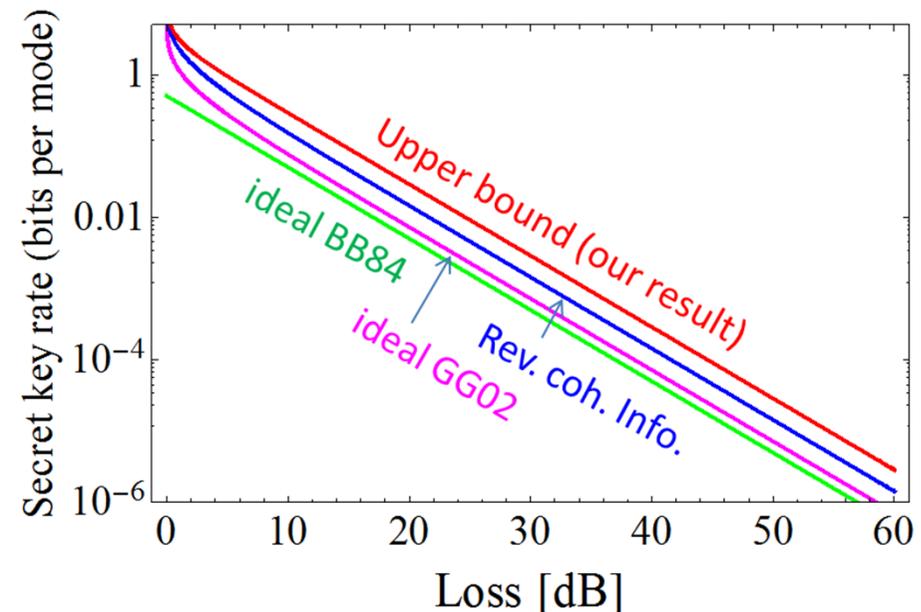
$$E_{sq}(\mathcal{N}_{LB}) \leq g((1 + \eta)N_s/2) - g((1 - \eta)N_s/2)$$

$$g(x) = (x + 1) \log_2(x + 1) - x \log_2 x$$

N_s : a mean input power
(average photon number of one share of the TMSV)

$$N_s \rightarrow \infty$$

$$E_{sq}(\mathcal{N}_{LB}) \leq \log_2 \frac{1 + \eta}{1 - \eta}$$



Rest of the talk

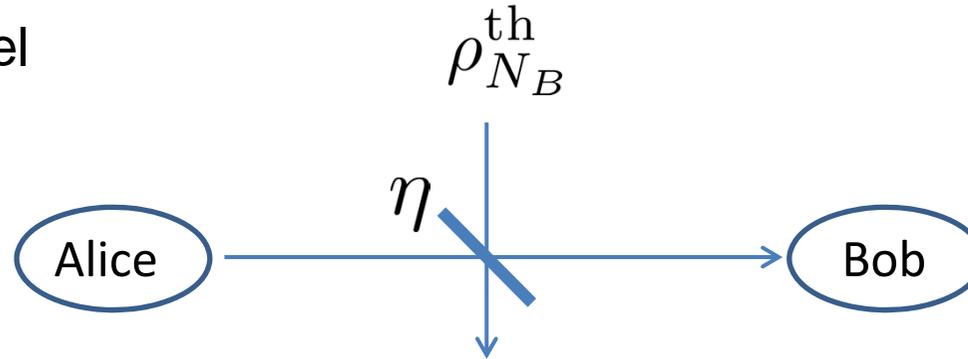


- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
- Squashed entanglement of a quantum channel as an upper bound on the two-way assisted SKA capacity
- Pure-loss optical channel
- Loss and noise optical channel
- Summary

Loss and thermal noise channel

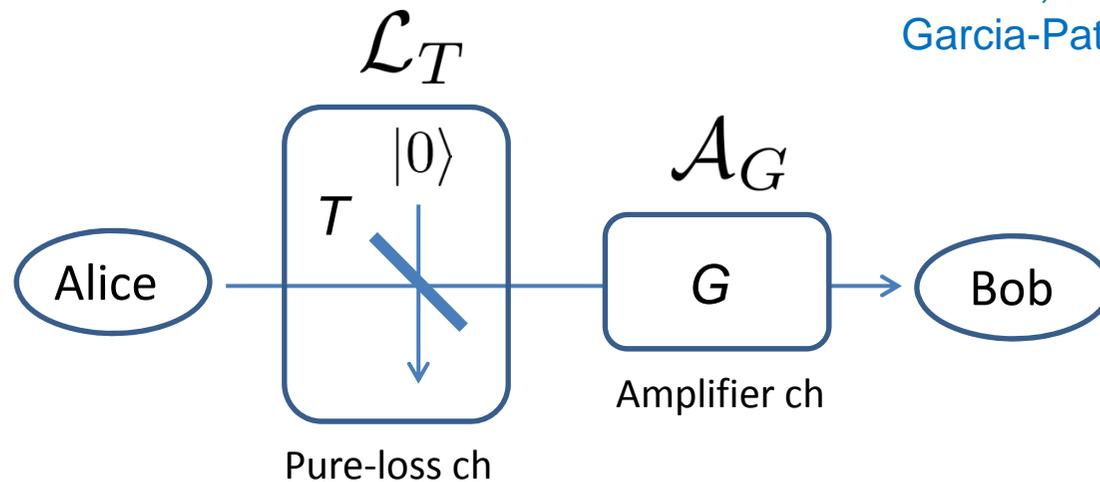


Channel model



Decomposition of the phase-insensitive Gaussian channel

Caruso, Giovannetti, Holevo, NJP 8, 310 (2006)
 Garcia-Patron et al., PRL 108, 110505 (2012)



$$T = \frac{\eta}{(1 - \eta)N_B + 1}$$

$$G = (1 - \eta)N_B + 1$$

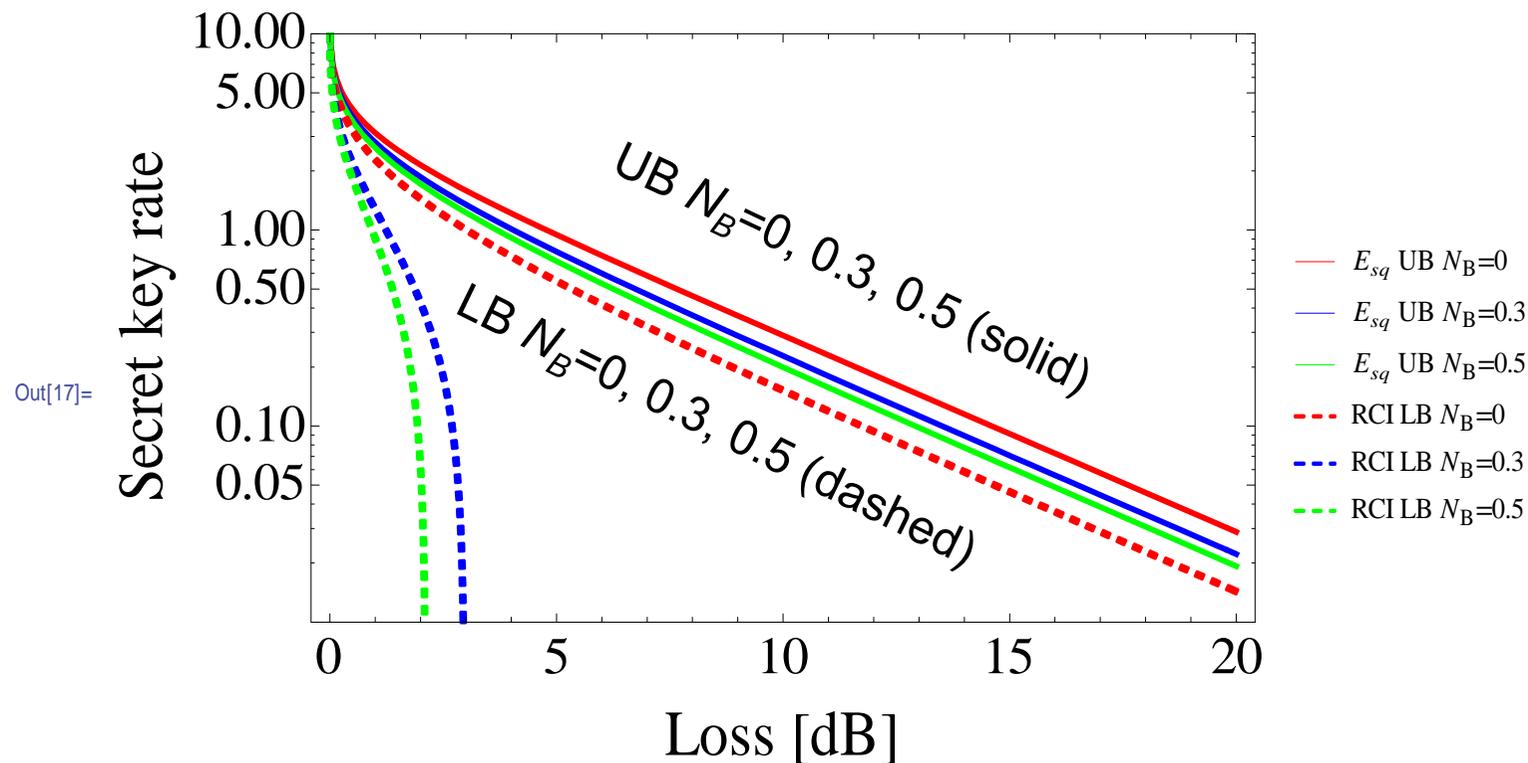
Loss and thermal noise channel



$$E_{sq}(\mathcal{N}) = E_{sq}(\mathcal{A}_G \circ \mathcal{L}_T) \leq E_{sq}(\mathcal{L}_T)$$

↖ Data processing inequality
 for quantum conditional mutual information

➔ $P_2(\mathcal{N}), Q_2(\mathcal{N}) \leq \log_2 \frac{1+T}{1-T} = \log_2 \frac{(1-\eta)N_B + 1 + \eta}{(1-\eta)N_B + 1 - \eta}$



Summary

MT, Guha, Wilde, Nat. Commun. 5; 5235 (2014)

MT, Guha, Wilde, IEEE Trans. Info. Theory 60, 4987 (2014)

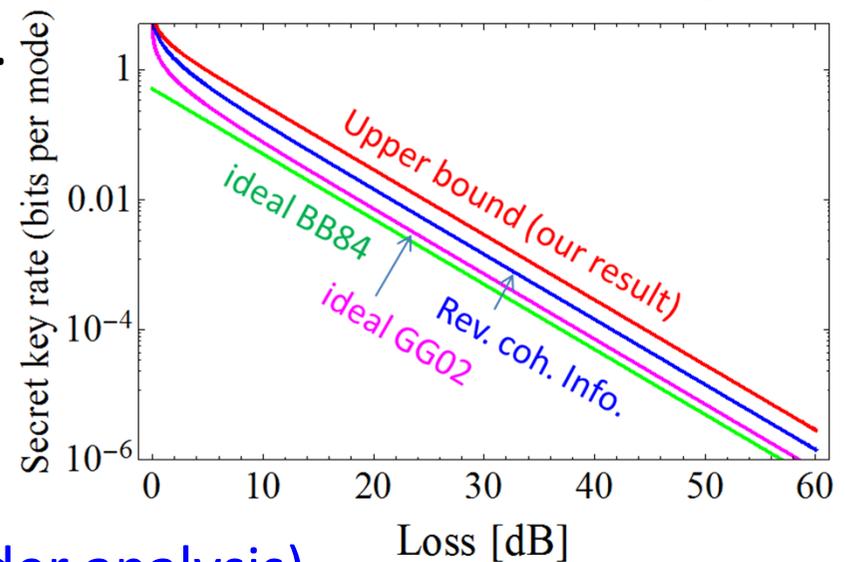


- The secret key rate of *any* repeaterless QKD protocols in a lossy optical channel is upper bounded by

$$\log_2 \frac{1 + \eta}{1 - \eta} \quad (\text{weak converse})$$

- The bound is based on the squashed entanglement of a quantum channel, which is a general upper bound on the two-way classically assisted secret key agreement capacity.

- Open problems
 - True two-way assisted capacity?
 - Tight bound for noisy channel?
 - Finite block code analysis
(needs strong converse or second order analysis)



Finite n analysis



- Our upper bound is a *weak converse*

➔ For a tight upper bound on finite block length, a *strong converse* or a *second order analysis* should be established.

- However, we can estimate the effect of finite block length from our result.

$$R \leq E_{\text{sq}}(\mathcal{N}) + f(\epsilon)$$

$$f(\epsilon) = (16\sqrt{\epsilon} \log d + 4h_2(2\sqrt{\epsilon})) / n$$



$$R \leq \frac{1}{1 - 16\sqrt{\epsilon}} (E_{\text{sq}}(\mathcal{N}) + 4h_2(2\sqrt{\epsilon})/n)$$

Finite n analysis



$$R \leq \frac{1}{1 - 16\sqrt{\epsilon}} (E_{\text{sq}}(\mathcal{N}) + 4h_2(2\sqrt{\epsilon})/n)$$

ϵ : secrecy (based on the trace distance criteria)
 n : code length

Example in a pure-loss optical channel:

200 km fiber (0.2dB/km loss)

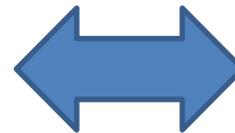
$$\epsilon = 10^{-10}, n = 10^4$$



$$1/(1 - 16\sqrt{\epsilon}) \approx 1.0002$$

$$4h_2(2\sqrt{\epsilon})/n \approx 1.36 \times 10^{-7}$$

$$R \leq 2.887 \times 10^{-4}$$



$$\log_2 \frac{1 + \eta}{1 - \eta}$$

$$\approx 2.885 \times 10^{-4}$$

Few more slides...



Extension of the results to

- Quantum repeaters
- Multipartite secret key sharing

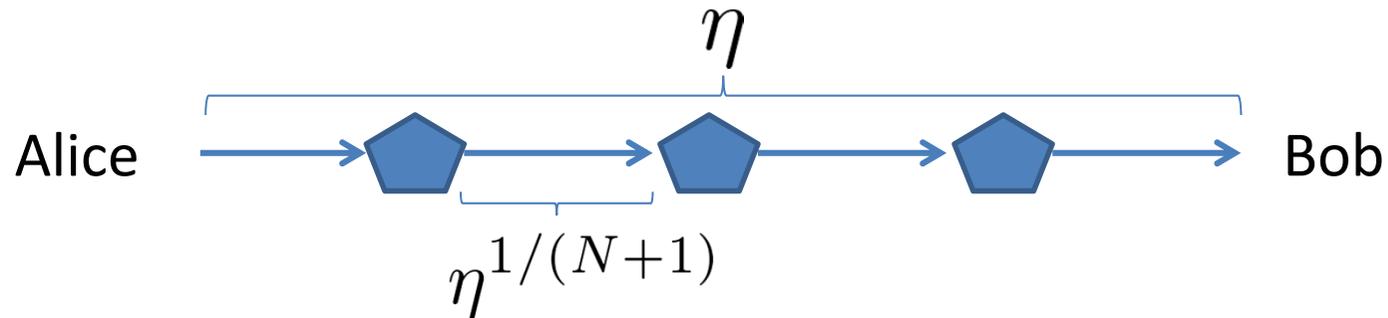
Ads..

- QCrypt2015
- Summer internship at NICT

Upper bound on quantum repeaters



Quantum communication with N repeater stations:

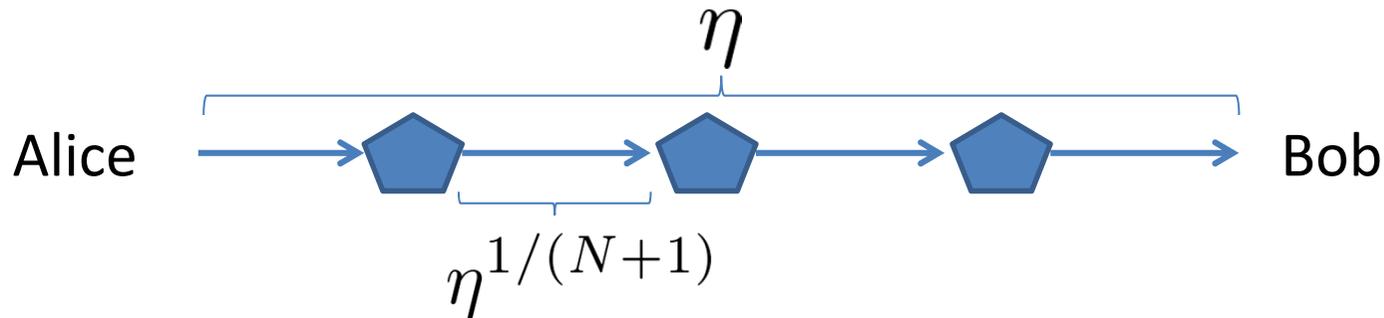


$$R \leq \log_2 \frac{1 + \eta}{1 - \eta} \quad \rightarrow \quad R \leq \log_2 \frac{1 + \eta^{1/(N+1)}}{1 - \eta^{1/(N+1)}}$$

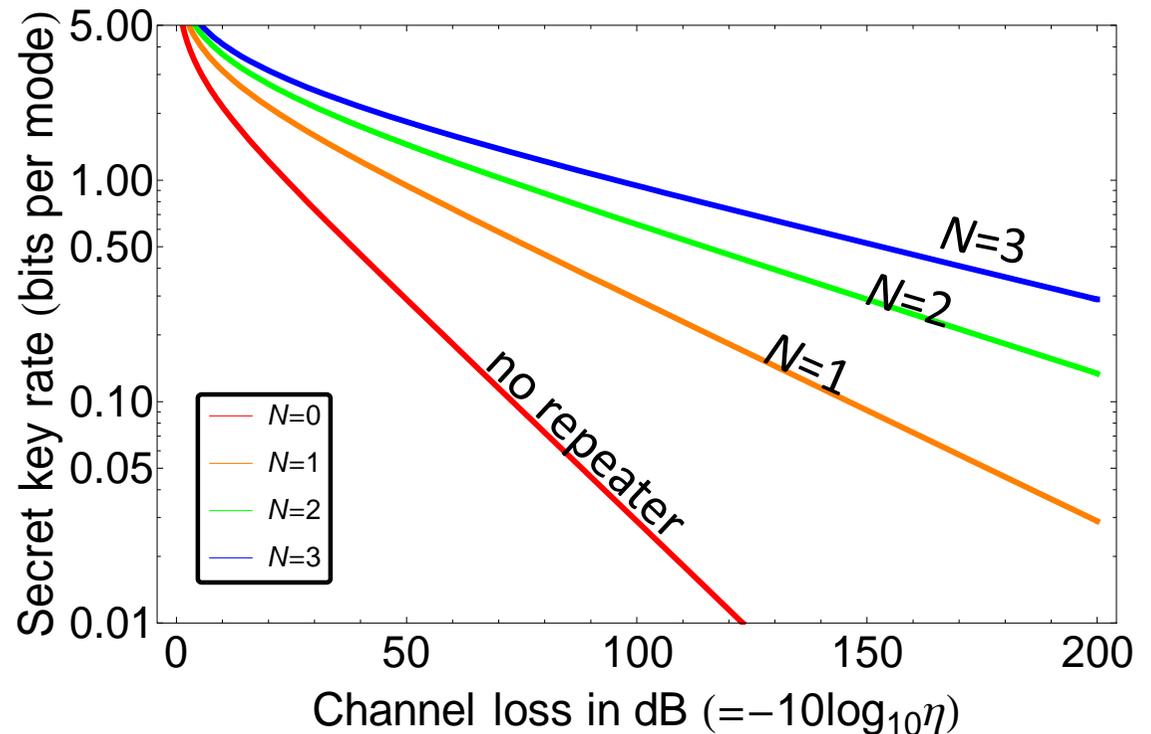
Upper bound on quantum repeaters



Quantum communication with N repeater stations:

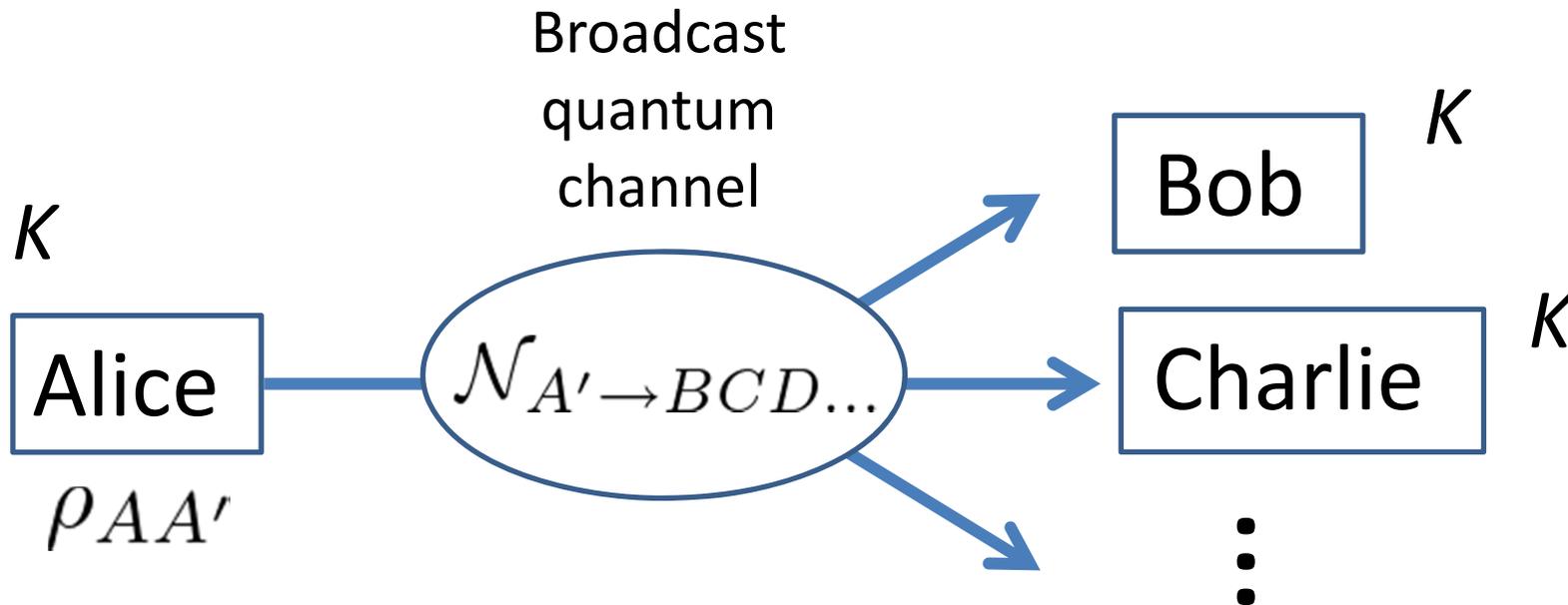


$$R \leq \log_2 \frac{1 + \eta^{1/(N+1)}}{1 - \eta^{1/(N+1)}}$$



Compare with the existing repeater protocols?

Multi-party key distribution



Key rate upper bound

$$R \leq \frac{1}{m} E_{\text{sq}}^{(m)}(\mathcal{N})$$

$$E_{\text{sq}}^{(m)}(\mathcal{N}) \equiv \max_{|\phi\rangle_{AA'}} E_{\text{sq}}(A; B^{(1)}; B^{(2)}; \dots; B^{(m)})_{\rho}$$

Squashed entanglement of a quantum broadcast channel

- Channel examples?
- How tight?

Few more slides...



Extension of the results to

- Quantum repeaters
- Multipartite secret key sharing

Ads..

- QCrypt2015
- Summer internship at NICT

QCrypt2015 & UQCC2015



Tokyo, Japan September 28 - October 2, 2015

QCrypt2015 (4.5days)

Discuss the latest theory and experiments on quantum cryptography and related fields



<http://2015.qcrypt.net/>

UQCC2015 (0.5days, Sep 28)

Invites engineers, potential users, media etc, to show the SOTA QKD performance & applications and discuss the possible business solutions



<http://2015.uqcc.org/>

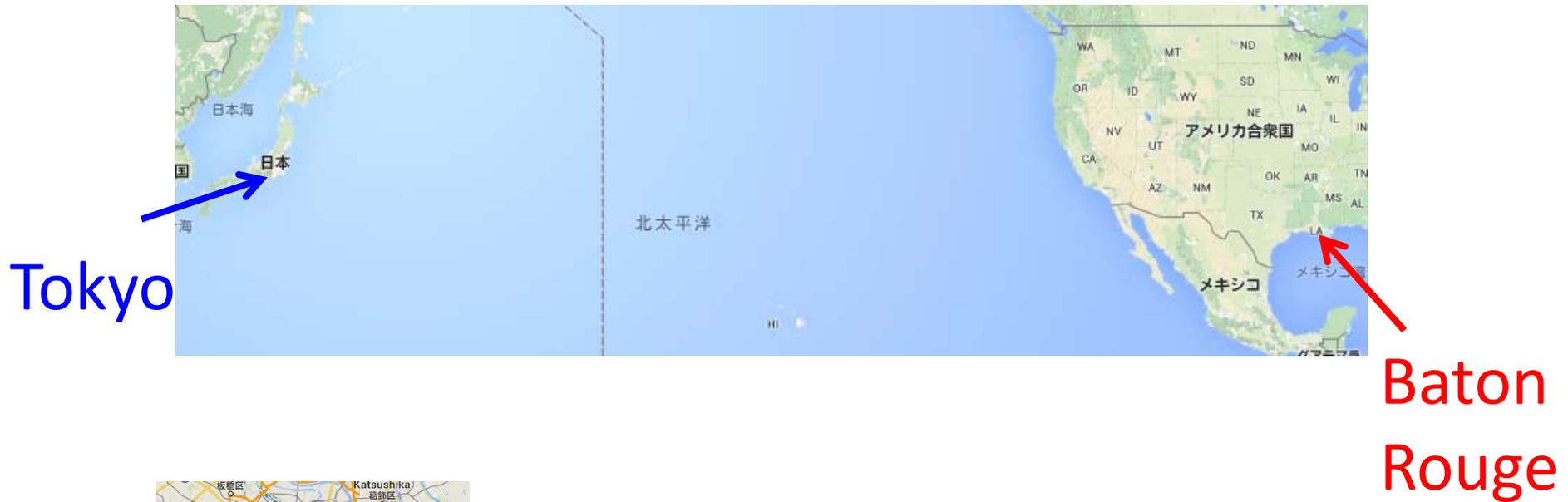


QCrypt2015 & UQCC2015



September 28 - October 2, 2015

Where? Tokyo, Japan



Central area of Tokyo



QCrypt2015 & UQCC2015



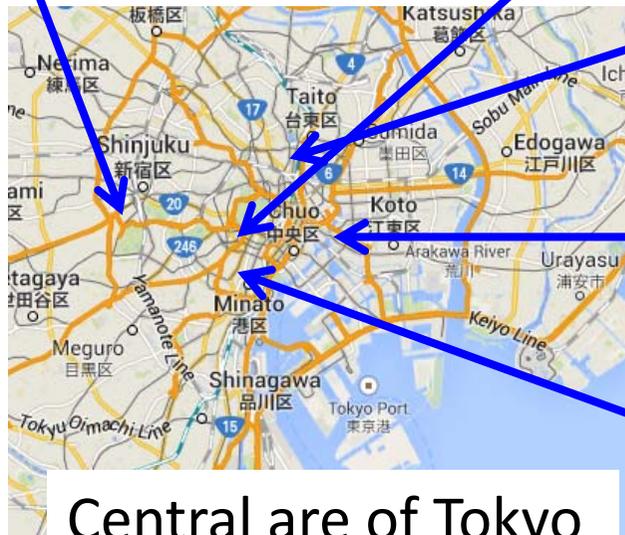
Shinjuku



Imperial Palace

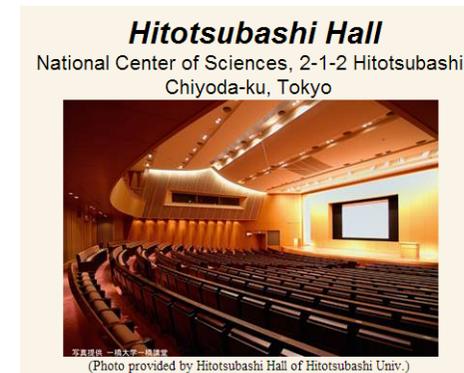


Asakusa Temple



Central are of Tokyo

Akihabara



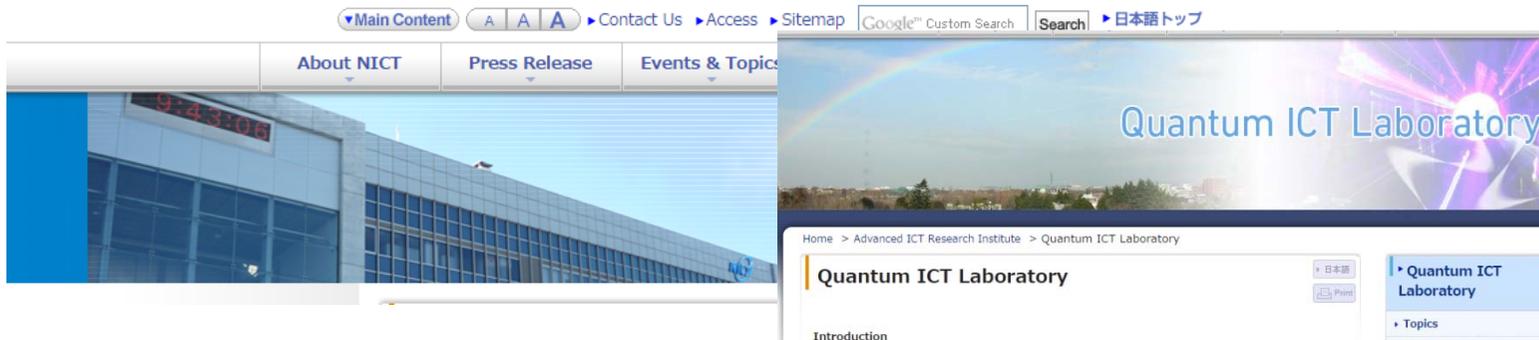
Oral presentation
submission deadline: **April 27**

<http://2015.qcrypt.net/>

Summer internship at Quantum ICT Lab in NICT

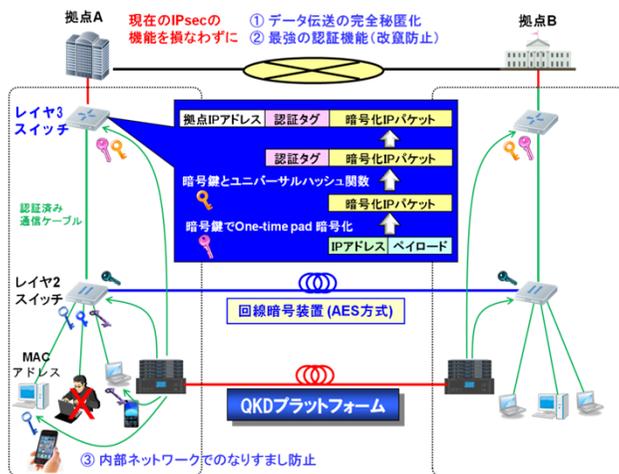


<http://www.nict.go.jp/en/>

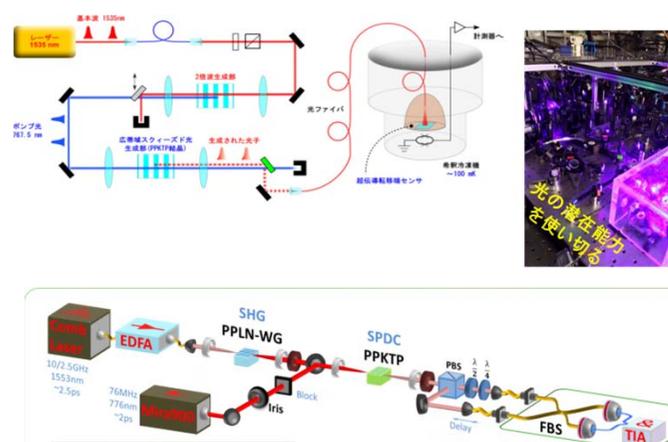


Leader
Masahide
Sasaki

QKD network, architecture, applications



Quantum optics: Entangled sources, PNRD, QIP protocols



Collaborative projects

- Optical space communication G
- Nano ICT G
- Frequency standard G

Theory: quantum information theory, quantum optics, physical layer security...